

Attorney's Docket 51270-304520  
Client Reference: H7953US



PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re PATENT APPLICATION of:  
MORITO MORISHIMA

Confirmation Number: 5462

Application No.: 10/606,810

Group Art Unit: 2656

Filed: June 26, 2003

Examiner: VAN T. PHAM

For: OPTICAL DISC RECORDING APPARATUS AND METHOD OF FORMING AN  
IMAGE ON AN OPTICAL DISC

**Commissioner for Patents**  
**P.O. Box 1450**  
**Alexandria, VA 22313-1450**

**AMENDMENT/RESPONSE TRANSMITTAL**

Transmitted herewith is an amendment/response for this application.

**FEES**

The fee for claims and extension of time (37 C.F.R. 1.16 and 1.17) has been calculated as shown below:

CLAIMS		HIGHEST NO.		PRESENT		RATE			ADDIT.	FEE
REMAINING	AFTER	PREVIOUSLY	PAID FOR	EXTRA						
AMENDMENT										
TOTAL	21	-	20	=	1	X \$	50.00	=	\$	50.00
INDEP.	3	-	6	=	0	X \$	200.00	=	\$	0.00
FIRST PRESENTATION OF MULTIPLE DEP. CLAIM						+ \$	360.00	=	\$	0.00
TOTAL ADDITIONAL CLAIM FEE									\$	50.00
GRAND TOTAL									\$	50.00

**FEE PAYMENT**

Authorization is hereby made to charge the amount of \$50.00 to Deposit Account No. 161805. Charge any additional fees required by this paper or credit any overpayment in the manner authorized above. A duplicate of this paper is attached.

Date: April 13, 2006

PILLSBURY WINTHROP SHAW PITTMAN LLP  
725 South Figueroa Street  
Suite 2800  
Los Angeles, CA 90017-5406  
213 488-7253

  
MARK R. KENDRICK  
Reg. No. 48468

**CERTIFICATION UNDER 37 C.F.R. §§ 1.8 and/or 1.10\***

(When using Express Mail, the Express Mail label number is *mandatory*; Express Mail certification is *optional*.)

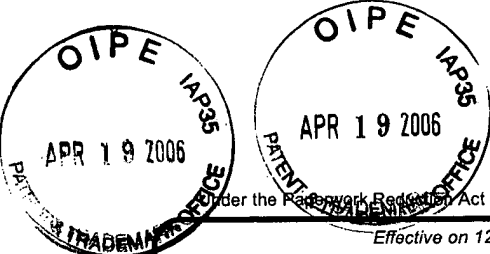
I hereby certify that, on the date shown below, this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date: April 13, 2006

  
Signature

MARK R. KENDRICK  
(type or print name of person certifying)

\* Only the date of filing (§ 1.6) will be the date used in a patent term adjustment calculation, although the date on any certificate of mailing or transmission under § 1.8 continues to be taken into account in determining timeliness. See § 1.703(f). Consider "Express Mail Post Office to Addressee" (§ 1.10) or facsimile transmission (§ 1.6(d)) for the reply to be accorded the earliest possible filing date for patent term adjustment calculations.



Thw

PTO/SB/17 (12-04)  
Approved for use through 07/31/2006. OMB 0651-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE  
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Effective on 12/08/2004.  
Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

FEE TRANSMITTAL for FY 2005		Complete if Known	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		Application Number	10/606,810
TOTAL AMOUNT OF PAYMENT (\$)		Filing Date	June 26, 2003
50		First Named Inventor	MORITO MORISHIMA
		Examiner Name	VAN T. PHAM
		Art Unit	2656
		Attorney Docket No.	51270-304520

**METHOD OF PAYMENT** (check all that apply)

☐ Check ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify): \_\_\_\_\_

☒ Deposit Account      Deposit Account Number: 161805      Deposit Account Name: PILLSBURY WINTHROP SHAW PITTMAN LLP

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☒ Charge fee(s) indicated below      ☐ Charge fee(s) indicated below, except for the filing fee

☒ Charge any additional fee(s) or underpayment of fees(s) under 37 CFR 1.16 and 1.17      ☒ Credit any overpayments

**WARNING:** Information on this form may become public. Credit Card Information should not be included on this form. Provide credit card information and authorization on PTO-2038.

**FEE CALCULATION**

**1. BASIC FILING, SEARCH, AND EXAMINATION FEES**

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fee Paid (\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	

**2. EXCESS CLAIM FEES**

Fee Description	Small Entity	
	Fee (\$)	Fee (\$)
Each claim over 20 or, for Reissues, each claim over 20 and more than in the original patent	50	25
Each independent claim over 3 or, for Reissues, each independent claim more than in the original patent	200	100
Multiple dependent claims	360	180

**Total Claims**      **Extra Claims**      **Fee (\$)**      **Fee Paid (\$)**      **Multiple Dependent Claims**

21 - 20 or HP = 1      X 50.00 = 50.00      Fee (\$)      **Fee Paid (\$)**

HP = highest number of total claims paid for, if greater than 20

**Indep. Claims**      **Extra Claims**      **Fee (\$)**      **Fee Paid (\$)**

3 - 6\*\*X or HP = 0      X = 0.00      Fee (\$)      **Fee Paid (\$)**

HP = highest number of independent claims paid for, if greater than 3

**3. APPLICATION SIZE FEE**

If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof	Fee (\$)	Fee Paid (\$)
- 100 =	/50=	(round up to a whole number) x	250.00	

**4. OTHER FEE(S)**

Non-English Specification, 130 fee (no small entity discount)

Other:

**SUBMITTED BY**

Signature		Registration No. (Attorney/Agent)	48468	Telephone	213-488-7253
Name (Print/Type)	MARK R. KENDRICK	Date	April 13, 2006		

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



PATENT  
51270-304520

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Request for Continued Examination )  
in the application of )  
**Morito Morishima** )  
Serial No: 10/606,810 )  
Filed: June 26, 2003 )  
For: OPTICAL DISC RECORDING )  
APPARATUS AND METHOD FOR )  
FORMING AN IMAGE ON AN OPTICAL DISC )

Art Unit: 2656  
Examiner: VAN T. PHAM

I hereby certify that this correspondence is  
being deposited with the United States  
Postal Service with sufficient postage as  
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Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450, on

April 13, 2006  
Date of Deposit

Mark R. Kendrick  
Name

Mark R. Kendrick 04/13/2006  
Signature Date

**ELECTION IN RESPONSE TO RESTRICTION  
REQUIREMENT AND PRELIMINARY AMENDMENT**

Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

Dear Examiner Pham:

In response to the Restriction / Election Requirement dated March 14, 2006,  
please enter the following amendments and election and consider the following  
remarks.

04/19/2006 HTECKLU1 00000042 161805 10606810

01 FC:1202 50.00 DA